

Test Procedure for §170.304 (h) Clinical summaries

This document describes the draft test procedure for evaluating conformance of complete EHRs or EHR modules to the certification criteria defined in 45 CFR Part 170 Subpart C of the Interim Final Rule (IFR) as published in the Federal Register on January 13, 2010. The document is organized by test procedure and derived test requirements with traceability to the normative certification criteria as described in the Overview document located at http://healthcare.nist.gov/docs/TestProcedureOverview_v1.pdf. These test procedures will be updated to reflect the certification criteria defined in the ONC Final Rule.

Note: This test procedure is scoped only to the criteria defined in 45 CFR Part 170 Subpart C of the Interim Final Rule (IFR) as published in the Federal Register on January 13, 2010. This test procedure will be updated to reflect updates to the criteria and standards as published in the ONC Final Rule. Questions about the criteria and standards should be directed to ONC.

CERTIFICATION CRITERIA

§170.304 (h) Clinical summaries.

- (1) Provision. Enable a user to provide clinical summaries to patients for each office visit that include, at a minimum, diagnostic test results, problem list, medication list, medication allergy list, immunizations and procedures.
- (2) Provided electronically. If the clinical summary is provided electronically it must be:
 - (i) Provided in human readable format; and
 - (ii) On electronic media or through some other electronic means in accordance with:
 - (A) One of the standards specified in §170.205(a)(1);
 - (B) The standard specified in §170.205(a)(2)(i)(A), or, at a minimum, the version of the standard specified in §170.205(a)(2)(i)(B);
 - (C) One of the standards specified in §170.205(a)(2)(ii);
 - (D) At a minimum, the version of the standard specified in §170.205(a)(2)(iii);and
 - (E) The standard specified in §170.205(a)(2)(iv).

INFORMATIVE TEST DESCRIPTION

This section provides an informative description of how the test procedure is organized and conducted. It is not intended to provide normative statements of the certification requirements.

This test evaluates the capability for a Complete EHR or EHR Module¹ to create a clinical summary to be provided to patients, including, at a minimum, diagnostic test results, problem list, medication list, medication allergy list, immunizations, and procedures, in the formats and vocabularies specified by the referenced standards. Per the IFR criteria, the test procedure does not evaluate the capability to create a clinical summary that includes other types of patient information.

The test procedure is organized into two sections:

¹ Department of Health and Human Services, 45 CFR Part 170 Proposed Establishment of Certification Programs for Health Information Technology, Proposed Rule, March 10, 2010.

- Provide - evaluates the capability to provide clinical summaries to patients for each office visit that include diagnostic test results, problem list, medication list, medication allergy list, immunizations and procedures
 - The Tester enters the NIST-supplied test data for diagnostic test results, problems, medications, medication allergies, immunizations, and procedures into a patient's EHR
 - The Tester uses the Vendor-identified function(s) to create a clinical summary
 - The Tester validates that the data rendered on the clinical summary are complete and accurate

- Provide electronically - evaluates the capability to provide the clinical summary either on electronic media or some other electronic means, in HL7 CDA level 2 or level 3 CCD format or ASTM CCR format, in human-readable form and using vocabulary coded values
 - The Tester uses the Vendor-identified function(s) to generate an electronic version of the clinical summary on electronic media or via another electronic means formatted in HL7 CDA level 2 or level 3 CCD or ASTM CCR
 - The Tester validates that the data rendered on the electronic media or via other electronic means are complete and in conformance

Per ONC guidance, the requirement for displaying structured data and vocabulary coded values in human readable form requires that the received XML (CCD or CCR) be rendered in some way which does not display the raw XML to the user. In addition, the standardized text associated with the vocabulary coded values must be displayed to the user. There is no requirement that the actual coded values be displayed to the user, however, the Vendor may choose to do so. The Vendor may also choose to display locally defined text descriptions of the vocabulary codes, however, the standardized text must always be displayed.

REFERENCED STANDARDS

§170.205 Content exchange and vocabulary standards for exchanging electronic health information.

Regulatory Referenced Standard

(a) Patient Summary Record.

(1) The Secretary adopts the following content exchange standards for the purposes of electronically exchanging a patient summary record or to use in creating an electronic copy of a patient summary record

(i) Standard. Health Level Seven Clinical Document Architecture (CDA) Release 2, Level 2 Continuity of Care Document (CCD) (incorporated by reference in §170.299).

(ii) Alternative standard. ASTM E2369 Standard Specification for Continuity of Care Record and Adjunct to ASTM E2369 (incorporated by reference in §170.299).

(2) The Secretary adopts the following vocabulary standards for the purposes of specifying the code set, terminology, or nomenclature to use to represent health information included in a patient summary record:

§170.205 Content exchange and vocabulary standards
for exchanging electronic health information.

Regulatory Referenced Standard

(i) Problem list

(A) Standard. The code set specified for the conditions specified at 45 CFR 162.1002(a)(1).

45 CFR 162.1002(a)(1).
(1) *International Classification of Diseases, 9th Edition, Clinical Modification, (ICD–9–CM), Volumes 1 and 2* (including The Official ICD–9–CM Guidelines for Coding and Reporting), as maintained and distributed by HHS, for the following conditions:
(i) Diseases.
(ii) Injuries.
(iii) Impairments.
(iv) Other health problems and their manifestations.
(v) Causes of injury, disease, impairment, or other health problems.

(B) Alternative standard. International Health Terminology Standards Development Organization (IHTSDO) Systematized Nomenclature of Medicine Clinical Terms (SNOMED CT®) July 2009 version (incorporated by reference in §170.299).

(ii) Procedures

(A) Standard. The code set specified at 45 CFR 162.1002(a)(2).

45 CFR 162.1002(a)(2).
(2) *International Classification of Diseases, 9th Edition, Clinical Modification, Volume 3 Procedures* (including The Official ICD–9–CM Guidelines for Coding and Reporting), as maintained and distributed by HHS, for the following procedures or other actions taken for diseases, injuries, and impairments on hospital inpatients reported by hospitals:
(i) Prevention.
(ii) Diagnosis.
(iii) Treatment.
(iv) Management.

(B) Alternative standard. The code set specified at 45 CFR 162.1002(a)(5).

45 CFR 162.1002(a)(5).
(5) The combination of *Health Care Financing Administration Common Procedure Coding System (HCPCS)*, as maintained and distributed by HHS, and *Current Procedural Terminology, Fourth Edition (CPT–4)*, as maintained and distributed by the American Medical Association, for physician services and other health care services. These services include, but are not limited to, the following:
(i) Physician services.
(ii) Physical and occupational therapy services.
(iii) Radiologic procedures.
(iv) Clinical laboratory tests.
(v) Other medical diagnostic procedures.
(vi) Hearing and vision services.
(vii) Transportation services including ambulance.

(iii) Laboratory orders and results

§170.205 Content exchange and vocabulary standards for exchanging electronic health information.	Regulatory Referenced Standard
(A) <u>Standard</u> . Logical Observation Identifiers Names and Codes (LOINC®) version 2.27, when such codes were received within an electronic transaction from a laboratory (incorporated by reference in §170.299).	
(B) [Reserved]	
(iv) Medication list.	
(A) <u>Standard</u> . Any code set by an RxNorm drug data source provider that is identified by the United States National Library of Medicine as being a complete data set integrated within RxNorm.	Federal Register January 13, 2010 page 2031 footnote #17: GS - 10/01/2009 (Gold Standard Alchemy); MDDB - 10/07/2009 (Master Drug Data Base. Medi-Span, a division of Wolters Kluwer Health); MMSL - 10/01/2009 (Multum MediSource Lexicon); MMX - 09/28/2009 (Micromedex DRUGDEX); MSH - 08/17/2009 (Medical Subject Headings (MeSH)); MTHFDA - 8/28/2009 (FDA National Drug Code Directory); MTHSPL - 10/28/2009 (FDA Structured Product Labels); NDDF - 10/02/2009 (First DataBank NDDF Plus Source Vocabulary); SNOMED CT - 07/31/2009 (SNOMED Clinical Terms (drug information) SNOMED International); VANDF - 10/07/2009 (Veterans Health Administration National Drug File).
(B) [Reserved]	

NORMATIVE TEST PROCEDURES

Derived Test Requirements

DTR170.304.h - 1: Provide clinical summaries to patients for each office visit

DTR170.304.h - 2: Provide clinical summaries to patients electronically

DTR170.304.h.1: Provide clinical summaries to patients

Required Vendor Information

VE170.304.h – 1.01: Vendor shall identify a patient with an existing record in the EHR to be used for this test

VE170.304.h – 1.02: Vendor shall specify whether they wish to use an HL7 CDA level 2 or level 3 CCD

VE170.304.h – 1.03: Vendor shall identify the EHR function(s) that are available to 1) select the patient, 2) enter patient clinical information including diagnostic test results, problems, medications, medication allergies, immunizations and procedures, 3) provide a clinical summary including diagnostic test results, problem list, medication list, medication allergy list, immunization list and procedure list

VE170.304.h – 1.04: Vendor shall identify the RxNorm-mapped medications vocabulary implemented within the EHR

Required Test Procedure

TE170.304.h – 1.01: Tester shall select patient clinical information data from NIST-supplied test data sets

TE170.304.h – 1.02: Using the EHR function(s) identified by the Vendor, the Tester shall select the patient's existing record and enter patient clinical information including

- Diagnostic test results
- Problems
- Medications
- Medication allergies
- Immunizations
- Procedures

TE170.304.h – 1.03: Using the EHR function(s) identified by the Vendor, the Tester shall create a clinical summary for an office visit including

- Diagnostic test results
- Problem list
- Medication list
- Medication allergy list
- Immunization list
- Procedure list

TE170.304.h – 1.04: Using the NIST-supplied Inspection Test Guide, the Tester shall verify that the clinical summary has been created correctly and without omission

Inspection Test Guide

- IN170.304.h – 1.01: Tester shall verify that all of the patient clinical information data are entered correctly and without omission
- IN170.304.h – 1.02: Tester shall verify that all of the patient clinical information data are stored in the patient's record including
- Diagnostic test results
 - Problems
 - Medications
 - Medication allergies
 - Immunizations
 - Procedures
- IN170.304.h – 1.03: Tester shall verify that the clinical summary has been created in HL7 CCD format (CDA level 2 or 3) or ASTM CCR format, in human readable form and using vocabulary coded values correctly and without omission for
- Diagnostic test results including the appropriate LOINC codes for any lab results
 - Problem list including the appropriate ICD-9-CM or SNOMED-CT codes
 - Medication list including the appropriate medications vocabulary text based on the list of codes supplied by the Vendor in VE304.h.1 - 04
 - Medication allergy list (there is no requirement for allergy coded values)
 - Immunization list including the appropriate CVX codes
 - Procedure list including the appropriate ICD-9-CM or HCPCS codes

DTR170.304.h.2: Provide clinical summaries to patients electronically

Required Vendor Information

- Information as defined in DTR170.304.h - 1, and the following additional information is required

VE170.304.h – 2.01: Vendor shall identify the EHR function(s) that are available to provide a clinical summary on electronic media or other electronic means in HL7 CDA level 2 or level 3 CCD format or ASTM CCR format including diagnostic test results, problem list, medication list, medication allergy list, immunization list and procedure list

Required Test Procedure

- TE170.304.h – 2.01: Using the EHR function(s) identified by the Vendor, and the existing patient record and patient clinical information entered in the Provide Clinical Summaries to Patients test, the Tester shall create the clinical summary on electronic media or other electronic means in HL7 CDA level 2 or level 3 CCD or ASTM CCR format, including
- Diagnostic test results
 - Problem list
 - Medication list
 - Medication allergy list
 - Immunization list

- Procedure list
- TE170.304.h – 2.02: Using the NIST-supplied Inspection Test Guide, the Tester shall verify that the electronic version of the clinical summary has been created correctly and without omission

Inspection Test Guide

- IN170.304.h – 2.01: Tester shall verify that the clinical summary has been created in HL7 CDA level 2 or level 3 CCD or ASTM CCR format, in human readable form and using vocabulary coded values correctly and without omission for
- Diagnostic test results including the appropriate LOINC codes for any lab results
 - Problem list including the appropriate ICD-9-CM or SNOMED-CT codes
 - Medication list including the appropriate medications vocabulary codes based on the list of codes supplied by the Vendor in VE304.h.1 - 04
 - Medication allergy list (there is no requirement for allergy coded values)
 - Immunization list including the appropriate CVX codes
 - Procedure list including the appropriate ICD-9-CM or HCPCS codes

EXAMPLE TEST DATA

* indicates alternative standard code per certification criteria

Data Set #1

Office Visit #1

Patient

Name	Date/Time of Birth	Gender	Identification Number	Identification Number Type	Address/Phone
Jonas Barnaby	07/14/1961 12:30:24	Male	969988999	Medical Record Number	478 Charles Street, Williamsport, Pennsylvania 17701 570-857-8593

Problem List

Type	ICD-9 Code	Patient Problem	Status	Date Diagnosed	Source
Diagnosis	250.02	Diabetes Mellitus, Type 2	Active	09/16/2009	John Fitzgerald, MD

Type	SNOMED Code*	Patient Problem	Status	Date Diagnosed	Source
Disorder	44054006	Diabetes Mellitus, Type 2	Active	09/16/2009	John Fitzgerald, MD

Medication List

RxNorm Code	Product	Generic Name	Brand Name	Strength	Dose	Route	Frequency	Date Started	Status	Source
205875	Medication	glyburide	Diabeta	2.5 mg	1 Tablet	PO	Q AM	09/16/2009	Active	John Fitzgerald, MD

Medication Allergy List

Type	SNOMED Code	Medication/Agent	Reaction	Date Identified	Source
Drug Allergy	293597001	Codeine	Hives	06/27/1996	John Fitzgerald, MD
Drug Allergy	294506009	Ampicillin	Diarrhea, nausea, vomiting	03/15/1994	John Fitzgerald, MD

Immunization List

Manufacturer	Lot Number	RxNorm Code	CVX Code	Vaccine	Route	Reaction	Date Administered	Source
Novartis	222222	857924	16	Influenza	IM	None	09/16/2009	John Fitzgerald, MD

Procedure List

Type	ICD-9 Code	Procedure	Status	Date Performed	Source
Surgical	86.3	Excision of benign lesion on arm	Completed	09/16/2009	John Fitzgerald, MD

Type	CPT Code*	Procedure	Status	Date Performed	Source
Surgical	11401	Excision of benign lesion on arm	Completed	09/16/2009	John Fitzgerald, MD

Diagnostic Test Results

Type	Code	Test (Normal Range)	Result	Date	Source
Chemistry	14771-0 LOINC	Fasting Blood Glucose (70–100 mg/dl)	178 mg/dl	09/16/2009	John Fitzgerald, MD

Office Visit #2

Patient

Name	Date/Time of Birth	Gender	Identification Number	Identification Number Type	Address/Phone
Jonas Barnaby	07/14/1961 12:30:24	Male	969988999	Medical Record Number	478 Charles Street, Williamsport, Pennsylvania 17701 570-857-8593

Problem List

Type	ICD-9 Code	Patient Problem	Status	Date Diagnosed	Source
Condition	272.4	Hyperlipidemia	Active	05/05/2002	John Fitzgerald, MD
Symptom	401.9	Hypertension, Essential	Active	05/05/2002	John Fitzgerald, MD

Type	SNOMED Code*	Patient Problem	Status	Date Diagnosed	Source
Disorder	55822004	Hyperlipidemia	Active	05/05/2002	John Fitzgerald, MD
Disorder	59621000	Essential Hypertension	Active	05/05/2002	John Fitzgerald, MD

Medication List

RxNorm Code	Product	Generic Name	Brand Name	Strength	Dose	Route	Frequency	Date Started	Status	Source
617314	Medication	atorvastatin calcium	Lipitor	10 mg	1 Tablet	PO	Q Day	05/05/2002	Active	John Fitzgerald, MD
200801	Medication	furosemide	Lasix	20 mg	1 Tablet	PO	BID	05/05/2002	Active	John Fitzgerald, MD
628958	Medication	potassium chloride	Klor-Con	10 mEq	1 Tablet	PO	BID	05/05/2002	Active	John Fitzgerald, MD

Medication Allergy List

Type	SNOMED Code	Medication/Agent	Reaction	Date Identified	Source
Drug Allergy	293597001	Codeine	Hives	06/27/1996	John Fitzgerald, MD
Drug Allergy	294506009	Ampicillin	Diarrhea, nausea, vomiting	03/15/1994	John Fitzgerald, MD

Immunization List

Manufacturer	Lot Number	RxNorm Code	CVX Code	Vaccine	Route	Reaction	Date Administered	Source
Merck	887765B	854977	33	PPV	IM	None	05/05/2002	John Fitzgerald, MD

Procedure List

Type	ICD-9 Code	Procedure	Status	Date Performed	Source
Surgical	86.59	Suture of scalp laceration	Completed	05/05/2002	John Fitzgerald, MD

Type	CPT Code*	Procedure	Status	Date Performed	Source
Surgical	12001	Suture of scalp laceration	Completed	05/05/2002	John Fitzgerald, MD

Diagnostic Test Results

Type	Code	Test (Normal Range)	Result	Date	Source
Chemistry	14647-2 LOINC	Total cholesterol (<200 mg/dl)	262 mg/dl	05/05/2002	John Fitzgerald, MD
Chemistry	14646-4 LOINC	HDL cholesterol (≥40 mg/dl)	78 mg/dl	05/05/2002	John Fitzgerald, MD
Chemistry	2089-1 LOINC	LDL cholesterol (<100 mg/dl)	184 mg/dl	05/05/2002	John Fitzgerald, MD
Chemistry	14927-8 LOINC	Triglycerides (<150 mg/dl)	177 mg/dl	05/05/2002	John Fitzgerald, MD

Data Set #2

Office Visit #1

Patient

Name	Date/Time of Birth	Gender	Identification Number	Identification Number Type	Address/Phone
Robert Flint	04/18/1983 20:18:04	Male	9813624798	Medical Record Number	747 Market Street, Morton, Illinois 61550 309-365-8298

Problem List

Type	ICD-9 Code	Patient Problem	Status	Date Diagnosed	Source
Diagnosis	493.00	Asthma, unspecified	Active	12/22/2009	Carl Roberts, MD

Type	SNOMED Code*	Patient Problem	Status	Date Diagnosed	Source
Disorder	195967001	Asthma	Active	12/22/2009	Carl Roberts, MD

Medication List

RxNorm Code	Product	Generic Name	Brand Name	Strength	Dose	Route	Frequency	Date Started	Status	Source
206833	Medication	metaproterenol sulfate	Alupent Inhalation Aerosol	15 mg/ml	2 Puffs	Inhaled	Q4h	12/22/2009	Active	Carl Roberts, MD

Medication Allergy List

Type	SNOMED Code	Medication/Agent	Reaction	Date Identified	Source
Drug Allergy	91936005	Penicillin	Rash and anaphylaxis	08/10/2008	Carl Roberts, MD
Drug Allergy	293620004	Indomethacin	Nausea, vomiting, rash, dizziness, headache	03/25/2003	Carl Roberts, MD

Immunization List

Manufacturer	Lot Number	RxNorm Code	CVX Code	Vaccine	Route	Reaction	Date Administered	Source
Novartis	U6007	857924	16	Influenza	IM	None	12/22/2009	Carl Roberts, MD

Procedure List

Type	ICD-9 Code	Procedure	Status	Date Performed	Source
Surgical	86.59	Suture of scalp laceration	Completed	12/22/2009	Carl Roberts, MD

Type	CPT Code*	Procedure	Status	Date Performed	Source
Surgical	12001	Suture of scalp laceration	Completed	12/22/2009	Carl Roberts, MD

Diagnostic Test Results

Type	Code	Test (Normal Range)	Result	Date	Source
Imaging	87.44 ICD-9 71010 CPT-4*	Chest X-ray, PA	Increased bronchial wall markings, patchy infiltrates	12/22/2009	Carl Roberts, MD

Office Visit #2

Patient

Name	Date/Time of Birth	Gender	Identification Number	Identification Number Type	Address/Phone
Robert Flint	04/18/1983 20:18:04	Male	9813624798	Medical Record Number	747 Market Street, Morton, Illinois 61550 309-365-8298

Problem List

Type	ICD-9 Code	Patient Problem	Status	Date Diagnosed	Source
Diagnosis	250.02	Diabetes Mellitus, Type 2	Active	08/10/2008	Carl Roberts, MD

Type	SNOMED Code*	Patient Problem	Status	Date Diagnosed	Source
Disorder	44054006	Diabetes Mellitus, Type 2	Active	08/10/2008	Carl Roberts, MD

Medication List

RxNorm Code	Product	Generic Name	Brand Name	Strength	Dose	Route	Frequency	Date Started	Status	Source
205875	Medication	glyburide	Diabeta	2.5 mg	1 Tablet	PO	Q AM	08/1020/08	Active	Carl Roberts, MD

Medication Allergy List

Type	SNOMED Code	Medication/Agent	Reaction	Date Identified	Source
Drug Allergy	91936005	Penicillin	Rash and anaphylaxis	08/10/2008	Carl Roberts, MD
Drug Allergy	293620004	Indomethacin	Nausea, vomiting, rash, dizziness, headache	03/25/2003	Carl Roberts, MD

Immunization List

Manufacturer	Lot Number	RxNorm Code	CVX Code	Vaccine	Route	Reaction	Date Administered	Source
GLAXOSMITHKLINE	HAB98V1	798424	43	Hepatitis B	IM	None	08/1020/08	Carl Roberts, MD

Procedure List

Type	ICD-9 Code	Procedure	Status	Date Performed	Source
Surgical	86.3	Excision of benign lesion on arm	Completed	08/1020/08	Carl Roberts, MD

Type	CPT Code*	Procedure	Status	Date Performed	Source
Surgical	11401	Excision of benign lesion on arm	Completed	08/1020/08	Carl Roberts, MD

Diagnostic Test Results

Type	Code	Test (Normal Range)	Result	Date	Source
Chemistry	14771-0 LOINC	Fasting Blood Glucose (70–100 mg/dl)	150 mg/dl	08/1020/08	Carl Roberts, MD
Imaging	87.44 ICD-9 71010 CPT-4*	Chest X-ray, PA	The heart outline is normal and the hilar and mediastinal vessels are of normal appearance	08/1020/08	Carl Roberts, MD

Data Set #3

Office Visit #1

Patient

Name	Date/Time of Birth	Gender	Identification Number	Identification Number Type	Address/Phone
Barbara Simpson	10/12/1956 19:47:01	Female	9688675266	Medical Record Number	996 Dalton Street, Fargo, North Dakota 54102 701-366-5534

Problem List

Type	ICD-9 Code	Patient Problem	Status	Date Diagnosed	Source
Diagnosis	486	Pneumonia	Active	01/22/2010	Robert James, MD

Type	SNOMED Code*	Patient Problem	Status	Date Diagnosed	Source
Disorder	233604007	Pneumonia	Active	01/22/2010	Robert James, MD

Medication List

RxNorm Code	Product	Generic Name	Brand Name	Strength	Dose	Route	Frequency	Date Started	Status	Source
308460	Medication	azithromycin	Azithromycin	250 mg	1 Tablet	PO	QD	01/22/2010	No Longer Active	Robert James, MD

Medication Allergy List

Type	SNOMED Code	Medication/Agent	Reaction	Date Identified	Source
Drug Allergy	91936005	Penicillin	Rash and anaphylaxis	06/10/2009	Robert James, MD
Drug Allergy	91939003	Sulfonamides	Hives, photosensitivity	04/25/1988	Robert James, MD

Immunization List

Manufacturer	Lot Number	RxNorm Code	CVX Code	Vaccine	Route	Reaction	Date Administered	Source
Novartis	U6007C	857924	16	Influenza	IM	None	01/22/2010	Robert James, MD

Procedure List

Type	ICD-9 Code	Procedure	Status	Date Performed	Source
Surgical	86.59	Suture of scalp laceration	Completed	01/22/2010	Robert James, MD

Type	CPT Code*	Procedure	Status	Date Performed	Source
Surgical	12001	Suture of scalp laceration	Completed	01/22/2010	Robert James, MD

Diagnostic Test Results

Type	Code	Test (Normal Range)	Result	Date	Source
Imaging	87.44 ICD-9 71020 CPT-4*	Chest X-ray, PA & Lateral	Bilateral Pneumonia	01/22/2010	Robert James, MD
Cardiology	89.52 ICD-9 93000 CPT-4*	Electrocardiogram	Sinus Tachycardia	01/22/2010	Robert James, MD

Office Visit #2

Patient

Name	Date/Time of Birth	Gender	Identification Number	Identification Number Type	Address/Phone
Barbara Simpson	10/12/1956 19:47:01	Female	9688675266	Medical Record Number	996 Dalton Street, Fargo, North Dakota 54102 701-366-5534

Problem List

Type	ICD-9 Code	Patient Problem	Status	Date Diagnosed	Source
Diagnosis	496.0	Chronic Obstructive Pulmonary Disease	Chronic	10/10/2009	Robert James, MD

Type	SNOMED Code*	Patient Problem	Status	Date Diagnosed	Source
Disorder	13645005	Chronic Obstructive Lung Disease	Chronic	10/10/2009	Robert James, MD

Medication List

RxNorm Code	Product	Generic Name	Brand Name	Strength	Dose	Route	Frequency	Date Started	Status	Source
836370	Medication	ipratropium bromide monhydrate	Atrovent Inhaler	18 mcg/puff	2 Puffs	Inhaled	QID	10/10/2009	Active	Robert James, MD
630208	Medication	albuterol sulfate	Albuterol Inhaler	2.5 mg/3ml	2 Puffs	Inhaled	Q 4 hours as needed	10/10/2009	Active	Robert James, MD

Medication Allergy List

Type	SNOMED Code	Medication/Agent	Reaction	Date Identified	Source
Drug Allergy	91936005	Penicillin	Rash and anaphylaxis	06/10/2009	Robert James, MD
Drug Allergy	91939003	Sulfonamides	Hives, photosensitivity	04/25/1988	Robert James, MD

Immunization List

Manufacturer	Lot Number	RxNorm Code	CVX Code	Vaccine	Route	Reaction	Date Administered	Source
Merck	W1445BB	347699	32	Meningococcal, NOS	IM	None	10/10/2009	Robert James, MD

Procedure List

Type	ICD-9 Code	Procedure	Status	Date Performed	Source
Surgical	86.3	Excision of benign lesion on arm	Completed	10/10/2009	Robert James, MD

Type	CPT Code*	Procedure	Status	Date Performed	Source
Surgical	11401	Excision of benign lesion on arm	Completed	10/10/2009	Robert James, MD

Diagnostic Test Results

Type	Code	Test (Normal Range)	Result	Date	Source
Imaging	87.44 ICD-9 71020 CPT-4*	Chest X-ray, PA & Lateral	Hyperinflated lungs with flattened diaphragm and central pulmonary artery enlargement	10/10/2009	Robert James, MD
Hematology	718-7 LOINC	Hemoglobin (male: 14-18 g/dl female: 12-16 g/dl)	16 g/dl	10/10/2009	Robert James, MD
Hematology	4544-3 LOINC	Hematocrit (male: 40-54% female: 36-48%)	45%	10/10/2009	Robert James, MD

Data Set #4

Office Visit #1

Patient

Name	Date/Time of Birth	Gender	Identification Number	Identification Number Type	Address/Phone
Susan Ellerby	12/08/1963 21:54:24	Female	925377799	Medical Record Number	483 Powell Street, Shawville, Pennsylvania 16873 814-645-9475

Problem List

Type	ICD-9 Code	Patient Problem	Status	Date Diagnosed	Source
Condition	272.4	Hyperlipidemia	Active	09/05/2009	Mark Payne, MD

Type	SNOMED Code*	Patient Problem	Status	Date Diagnosed	Source
Disorder	55822004	Hyperlipidemia	Active	09/05/2009	Mark Payne, MD

Medication List

RxNorm Code	Product	Generic Name	Brand Name	Strength	Dose	Route	Frequency	Date Started	Status	Source
617314	Medication	atorvastatin calcium	Lipitor	10 mg	1 Tablet	PO	Q Day	09/05/2009	Active	Mark Payne, MD

Medication Allergy List

Type	SNOMED Code	Medication/Agent	Reaction	Date Identified	Source
Drug Allergy	91936005	Penicillin	Rash and anaphylaxis	05/22/1998	Mark Payne, MD
Drug Allergy	293597001	Codeine	Hives	02/17/1992	Mark Payne, MD

Immunization List

Manufacturer	Lot Number	RxNorm Code	CVX Code	Vaccine	Route	Reaction	Date Administered	Source
Novartis	222222	857924	16	Influenza	IM	None	09/05/2009	Mark Payne, MD

Procedure List

Type	ICD-9 Code	Procedure	Status	Date Performed	Source
Surgical	86.3	Excision of benign lesion on arm	Completed	09/05/2009	Mark Payne, MD

Type	CPT Code*	Procedure	Status	Date Performed	Source
Surgical	11401	Excision of benign lesion on arm	Completed	09/05/2009	Mark Payne, MD

Diagnostic Test Results

Type	Code	Test (Normal Range)	Result	Date	Source
Chemistry	14647-2 LOINC	Total cholesterol (<200 mg/dl)	279 mg/dl	09/05/2009	Mark Payne, MD
Chemistry	14646-4 LOINC	HDL cholesterol (≥40 mg/dl)	89 mg/dl	09/05/2009	Mark Payne, MD
Chemistry	2089-1 LOINC	LDL cholesterol (<100 mg/dl)	190 mg/dl	09/05/2009	Mark Payne, MD
Chemistry	14927-8 LOINC	Triglycerides (<150 mg/dl)	187 mg/dl	09/05/2009	Mark Payne, MD

Office Visit #2

Patient

Name	Date/Time of Birth	Gender	Identification Number	Identification Number Type	Address/Phone
Susan Ellerby	12/08/1963 21:54:24	Female	925377799	Medical Record Number	483 Powell Street, Shawville, Pennsylvania 16873 814-645-9475

Problem List

Type	ICD-9 Code	Patient Problem	Status	Date Diagnosed	Source
Symptom	401.9	Hypertension, Essential	Active	10/05/2008	Mark Payne, MD

Type	SNOMED Code*	Patient Problem	Status	Date Diagnosed	Source
Disorder	59621000	Essential Hypertension	Active	10/05/2008	Mark Payne, MD

Medication List

RxNorm Code	Product	Generic Name	Brand Name	Strength	Dose	Route	Frequency	Date Started	Status	Source
200801	Medication	furosemide	Lasix	20 mg	1 Tablet	PO	BID	10/05/2008	Active	Mark Payne, MD
628958	Medication	potassium chloride	Klor-Con	10 mEq	1 Tablet	PO	BID	10/05/2008	Active	Mark Payne, MD

Medication Allergy List

Type	SNOMED Code	Medication/Agent	Reaction	Date Identified	Source
Drug Allergy	91936005	Penicillin	Rash and anaphylaxis	05/22/1998	Mark Payne, MD
Drug Allergy	293597001	Codeine	Hives	02/17/1992	Mark Payne, MD

Immunization List

Manufacturer	Lot Number	RxNorm Code	CVX Code	Vaccine	Route	Reaction	Date Administered	Source
Merck	887765B	854977	33	PPV	IM	None	10/05/2008	Mark Payne, MD

Procedure List

Type	ICD-9 Code	Procedure	Status	Date Performed	Source
Surgical	86.59	Suture of scalp laceration	Completed	10/05/2008	Mark Payne, MD

Type	CPT Code*	Procedure	Status	Date Performed	Source
Surgical	12001	Suture of scalp laceration	Completed	10/05/2008	Mark Payne, MD

Diagnostic Test Results

Type	Code	Test (Normal Range)	Result	Date	Source
Chemistry	2823-3 LOINC	Potassium (3.5–5.3 mg/dl)	4.5 mg/dl	10/05/2008	Mark Payne, MD
Imaging	87.44 ICD-9 71020 CPT-4*	Chest X-ray, PA & Lateral	The heart outline is normal and the hilar and mediastinal vessels are of normal appearance	10/05/2008	Mark Payne, MD

Data Set #5

Office Visit #1

Patient

Name	Date/Time of Birth	Gender	Identification Number	Identification Number Type	Address/Phone
Johnathan Stone	11/12/1966 08:18:08	Male	988772587	Medical Record Number	937 Sutter Street, Aurora, Colorado 80011 303-544-9988

Problem List

Type	ICD-9 Code	Patient Problem	Status	Date Diagnosed	Source
Diagnosis	250.02	Diabetes Mellitus, Type 2	Active	07/17/2009	Pamela Jones, MD

Type	SNOMED Code*	Patient Problem	Status	Date Diagnosed	Source
Disorder	44054006	Diabetes Mellitus, Type 2	Active	07/17/2009	Pamela Jones, MD

Medication List

RxNorm Code	Product	Generic Name	Brand Name	Strength	Dose	Route	Frequency	Date Started	Status	Source
205875	Medication	glyburide	Diabeta	2.5 mg	1 Tablet	PO	Q AM	07/17/2009	Active	Pamela Jones, MD

Medication Allergy List

Type	SNOMED Code	Medication/Agent	Reaction	Date Identified	Source
Drug Allergy	294506009	Ampicillin	Diarrhea, nausea, vomiting	03/25/1997	Pamela Jones, MD
Drug Allergy	91939003	Sulfonamides	Hives, photosensitivity	04/25/1989	Pamela Jones, MD

Immunization List

Manufacturer	Lot Number	RxNorm Code	CVX Code	Vaccine	Route	Reaction	Date Administered	Source
Merck	887765B	854977	33	Pneumococcal	IM	None	07/17/2009	Pamela Jones, MD

Procedure List

Type	ICD-9 Code	Procedure	Status	Date Performed	Source
Surgical	86.3	Excision of benign lesion on arm	Completed	07/17/2009	Pamela Jones, MD

Type	CPT Code	Procedure	Status	Date Performed	Source
Surgical	11401	Excision of benign lesion on arm	Completed	07/17/2009	Pamela Jones, MD

Diagnostic Test Results

Type	Code	Test (Normal Range)	Result	Date	Source
Chemistry	14771-0 LOINC	Fasting Blood Glucose (70–100 mg/dl)	120 mg/dl	07/17/2009	Pamela Jones, MD
Imaging	87.44 ICD-9 71020 CPT-4*	Chest X-ray, PA & Lateral	The heart outline is normal and the hilar and mediastinal vessels are of normal appearance	07/17/2009	Pamela Jones, MD

Data Set #5

Office Visit #2

Patient

Name	Date/Time of Birth	Gender	Identification Number	Identification Number Type	Address/Phone
Johnathan Stone	11/12/1966 08:18:08	Male	988772587	Medical Record Number	937 Sutter Street, Aurora, Colorado 80011 303-544-9988

Problem List

Type	ICD-9 Code	Patient Problem	Status	Date Diagnosed	Source
Symptom	401.9	Hypertension, Essential	Active	06/05/2008	Pamela Jones, MD

Type	SNOMED Code*	Patient Problem	Status	Date Diagnosed	Source
Disorder	59621000	Essential Hypertension	Active	06/05/2008	Pamela Jones, MD

Medication List

RxNorm Code	Product	Generic Name	Brand Name	Strength	Form	Route	Frequency	Date Started	Status	Source
200801	Medication	furosemide	Lasix	20 mg	1 Tablet	PO	BID	06/05/2008	Active	Pamela Jones, MD
628958	Medication	potassium chloride	Klor-Con	10 mEq	1 Tablet	PO	BID	06/05/2008	Active	Pamela Jones, MD

Medication Allergy List

Type	SNOMED Code	Medication/Agent	Reaction	Date Identified	Source
Drug Allergy	294506009	Ampicillin	Diarrhea, nausea, vomiting	03/25/1997	Pamela Jones, MD
Drug Allergy	91939003	Sulfonamides	Hives, photosensitivity	04/25/1989	Pamela Jones, MD

Immunization List

Manufacturer	Lot Number	RxNorm Code	CVX Code	Vaccine	Route	Reaction	Date Administered	Source
GLAXOSMITHKLINE	HAB98V1	798424	43	Hepatitis B	IM	None	06/05/2008	Pamela Jones, MD

Procedure List

Type	ICD-9 Code	Procedure	Status	Date Performed	Source
Surgical	86.59	Suture of scalp laceration	Completed	06/05/2008	Pamela Jones, MD

Type	CPT Code*	Procedure	Status	Date Performed	Source
Surgical	12001	Suture of scalp laceration	Completed	06/05/2008	Pamela Jones, MD

Diagnostic Test Results

Type	Code	Test (Normal Range)	Result	Date	Source
Chemistry	2823-3 LOINC	Potassium (3.5–5.3 mg/dl)	4.5 mg/dl	07/17/2009	Pamela Jones, MD
Imaging	87.44 ICD-9 71020 CPT-4*	Chest X-ray, PA & Lateral	The heart outline is normal and the hilar and mediastinal vessels are of normal appearance	07/17/2009	Pamela Jones, MD

CONFORMANCE TEST TOOLS

The following testing tools are available to evaluate conformance to the standards referenced in this test procedure:

- HL7 CCD – NIST provides an HL7 CCD validation tool designed specifically to support ARRA Meaningful Use Testing as described in this test procedure. The tool is available in two forms:
 - a downloadable package for local installation available at <http://xreg2.nist.gov/cda-validation/mu.html>
 - a web-accessable validator which is hosted by NIST available at <http://xreg2.nist.gov/cda-validation/mu.html>

Support for these tools is available by contacting
[Andrew McCaffrey](mailto:andrew.mccaffrey@nist.gov) (andrew.mccaffrey@nist.gov)
Computer Scientist
National Institute of Standards and Technology (NIST)
Information Technology Laboratory

- ASTM CCR – NIST is actively working with industry to identify available CCR validation tools. The test procedure will be updated as soon as the specific tool has been identified.
- HL7 CCD style sheet – HL7 provides a style sheet to render HL7 CCD structured documents as part of the CCD specifications package. Contact HL7 directly for the specification package.